



**GOKUL  
GLOBAL  
UNIVERSITY**

Regulations for Award of the Degree of Doctor of Philosophy (Ph.D.)

## **Ph.D. Regulations 2021**

### **1. Title and Commencement**

- (1) These Regulations may be called the "Gokul Global University Regulations governing the Award of the degree of Doctor of Philosophy (Ph.D.), 2021". These Regulations have been framed in accordance with the University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) Regulations, 2021.
- (2) The entire Ph. D programme under various faculties of this university shall be for regular research scholar only. The Gokul Global University does not permit Ph.D. programme through distance learning mode.
- (3) These Regulations shall come into force from the date of approval of the Academic Council of the University.

### **2. Definitions**

Unless the context otherwise requires, the following words and expressions shall have the following meanings:

- (1) "Academic Council" means the Academic Council of the University.
- (2) "Candidate" means any person who satisfies the prescribed eligibility criteria as stated in Regulation 7.2 and who gets registered for the Ph.D. Degree Programme.
- (3) "Co-Supervisor" means the recognised supervisor, who supervises the Ph.D. work of a candidate jointly with the Supervisor as stated in Regulation 8.6.2.
- (4) "Coursework" means the compulsory study to be undertaken by the candidate as prescribed by these Regulations.
- (5) "Degree" means the degree of Doctor of Philosophy (Ph.D.).
- (6) "Doctoral Committee" means the Committee constituted by the Director of Research and approved by the Academic Council for the purpose of regulating the Ph.D. Programme.
- (7) "Examiners" means the panel of examiners selected by the Director of Research and approved by the Academic Council for adjudicating/ evaluating the Ph.D. thesis.
- (8) "Foreign Candidate" means any person who is a foreign national with a valid foreign passport, satisfying the prescribed eligibility criteria as stated in Regulations 5 and who gets registered for the Ph.D. Degree Programme with a valid research visa.
- (9) "Research Council" means the Committee constituted by the Director of Research for monitoring the progress of the research work.
- (10) "Supervisor" means the recognised Ph.D. guide as stated in Regulation 8.1.
- (11) "University" means the Gokul Global University, Sidhpur



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### 3. Ph.D. Degree Programmes

The University shall offer Ph.D. Degree in the following faculties:

#### 3.1 Faculty of Law offers Ph.D. Programme in following disciplines:

3.1.1. Law(Criminal and Business Law)

#### 3.2 Faculty of Engineering offers Ph.D. Programme in following disciplines:

3.2.1. Mechanical, Electrical, Civil, Computer

#### 3.3 Faculty of Science offers Ph.D. Programme in following disciplines:

3.3.1. Chemistry, Botany, Physics

#### 3.4 Faculty of Commerce and Management offers Ph.D. Programme in following disciplines:

3.4.1. Commerce & Management

#### 3.5 Faculty of Arts and Humanities offers Ph.D. Programme in following disciplines:

3.5.1. English, Gujarati, Economics

### 4. Composition and Functions of the Doctoral Admission Committee:

#### (1) Doctoral Admission Committee shall consist of:

- i. VC Nominee
- ii. Director of Research.
- iii. Dean of Faculty.
- iv. At least one subject expert from constituent institute.
- v. External subject expert having enough research exposure in the relevant area, to be recommended by the Dean.
- vi. Deputy Registrar (Academic) as member secretary.

#### (2) Functions of the Doctoral Admission Committee:

- i. To advertise the admission process through the Registrar as per prevailing norms of the UGC and call for applications.
- ii. To verify the candidates' credentials as per regulations and publish a list of eligible candidates for further process.
- iii. To conduct Ph.D. entrance examination and publish a list of qualified candidates after entrance examination.
- iv. To carry out work related to the research presentation before final admission.
- v. Recommend and suggest Ph.D. supervisor for the candidate based on expertise.

### 5. Eligibility for Admission in Ph.D. Programme:

#### 5.1 Classification of Candidates:

The applicants are classified under one of the following ...

- i. **Full time Research Scholar** – Candidate available full time at the University for pursuing his research work and is not employed anywhere.
- ii. **Inservice Research Scholar** – The regular employee of constituent college/institute under Gokul Global University, who can devote time to pursue his research along with his regular workload at the respective college/institute.

#### 5.2 Full Time Research Scholar

The candidate, who presents himself for the registration of the Degree of Doctor of Philosophy (Ph.D.) in various faculties under the university, shall possess minimum education qualification as per the table given below.

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Sr. No.	Faculty	Minimum Educational Qualification
1	Engineering & Technology	(i) Master Degree or equivalent in the appropriate branch from a recognised University/ Institution with minimum of 55% marks in aggregate or equivalent grade.
2	Law	Its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed)
3	Science	
4	Arts & Humanities	
5	Commerce & Management	OR An equivalent degree from a foreign educational Institution which is approved, recognised or authorised by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.  (ii) Relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled and other categories of candidates as per the decision of the Commission from time to time, or for those who had obtained their Master's degree prior to 19th September, 1991. The eligibility marks of 55% or an equivalent grade and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures.

### 5.3 Inservice Research Scholar

5.3.1 The candidate applying under the Inservice research scholar category should possess.

5.3.1.1 Minimum educational qualifications as per the admission eligibility of Full Time Research Scholars mentioned under section 5.2.

5.3.1.2 At least two years of relevant work experience is preferred.

5.3.2 The candidate needs to prove to the satisfaction of the university that his official duties permit him to devote sufficient time to research. Facilities for research are available at the candidate's place of work in the chosen field of research.

5.3.3 The Inservice research scholars must devote sufficient time for the research work in contact with the concerned research supervisor.

### 6. Duration of Research Work:

#### 6.1 Duration of Ph.D. programme:

Full time candidates- Minimum THREE years and Maximum SIX Years

Inservice candidates- Minimum THREE and HALF years and Maximum SEVEN Years

#### 6.2 Extension of Research work:

1. In case candidate is unable to complete his/her research work in the duration mentioned in clause 6.1, candidate has to apply for extension to the Director of Research forwarded through research supervisor after recommendation from DC.
2. Maximum extension of one year can be given, if candidate fails to complete his/her research work within the extension period, then candidate has to re-register.

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## 7 Procedure for Admission:

### 7.1 Admission Notification

The Registrar shall initiate the process to publish admission notification as per the provisions prescribed under 5.0. Such notification shall be published maximum twice in a year depending upon the availability of the seats/ Ph.D. supervisors etc.

### 7.2 Eligibility Criteria

Eligibility criteria shall be as defined under section 5.2 of this regulation will be applicable to scrutinize the applicants.

### 7.3 Entrance Examination

- 7.3.1 The GOKUL GLOBAL UNIVERSITY shall conduct entrance examination for the admission to Ph.D. programme after due Advertisement/Notification.
- 7.3.2 However, those students who have qualified NET/SLET/GATE/JRF/SRF or M.Phil holder shall be exempted from entrance examination only and shall appear for the interview/viva-voce examination.
- 7.3.3 The pattern of the entrance examination shall be as under:
  - 7.3.3.1 The question paper shall have MCQs.
  - 7.3.3.2 Question paper shall be set from syllabus defined by concern faculty. There will be no negative marking. If a question has not been attempted, no credit/marks will be given. The questions will be of Post Graduate level.
  - 7.3.3.3 The question paper shall be of maximum 3 hours' duration, and shall consist of total 100 marks
- 7.3.4 Candidates acquiring 50% marks shall be eligible for interview/viva-voce as examination
- 7.3.5 Examination shall be held at GOKUL GLOBAL UNIVERSITY, Siddhpur.

### 7.4 Interview / Viva-Voce Examination

- 7.4.1 The eligible candidate shall be called for interview/ viva-voce examination and his documents shall be scrutinised by the admission committee. The allocation of the Ph.D. topic/subject of the faculty shall be finalised by the admission committee.
- 7.4.2 A merit list of successful candidates shall be prepared by considering his performance in the interview / viva-voce examination, considering their competency in,
  - 7.4.2.1 The candidate possesses the competence for the proposed research;
  - 7.4.2.2 The research work can be suitably undertaken at the Institution/College;
  - 7.4.2.3 The proposed area of research can contribute to new/additional knowledge.
- 7.4.3 A list of admitted students shall be prepared depending on the availability of number of seats. The candidate shall be offered admission in the order of merit. The list of admitted candidates shall be sent to the respective faculty.
- 7.4.4 All the admitted students shall be registered provisionally to the Ph.D. programme till the successful completion of their course work.
- 7.4.5 The list of all the Ph.D. registered students shall be published on university website on year- wise basis. The list shall include the name of the registered candidate, topic of his research, name of his supervisor / co-supervisor, date of enrolment / registration.

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## 8. Research Supervisor

### 8.1 Eligibility of Research Supervisor (Ph.D. Supervisor)

Following guidelines will be applicable to define the eligibility for the recognition of Research Supervisor of Ph.D. Programme under the respective faculty of GOKUL GLOBAL UNIVERSITY.

- 1) Faculty member with Ph.D. degree in the respective faculty or in related discipline and having minimum of 2 post Ph.D. publications. OR
- 2) Faculty member with Ph.D. degree in the respective faculty or in related discipline and having minimum 5 years of PG teaching experience. OR
- 3) Faculty member with Ph.D. degree in the respective faculty or in related discipline and having minimum 8 years of UG teaching experience and presently teaching in PG programme. OR
- 4) Faculty member with Ph.D. degree in the respective faculty or in related discipline and having minimum 8 years of industry/scientific research experience and presently teaching in PG programme. OR
- 5) Faculty member currently working in cadre of Professor with minimum 10 years of teaching experience and 10 research publications.

Note: Only faculty members of the constituent institutes of GOKUL GLOBAL UNIVERSITY are eligible to be recognised as Ph.D. Research Supervisor.

### 8.2 Approval of the Ph.D. Supervisor

Ph.D. supervisor is recognised, subject to the final approval by the Provost of GOKUL GLOBAL UNIVERSITY.

### 8.3 Applications

Application for Ph.D. Supervisors shall be submitted in a prescribed format to "The Registrar, GOKUL GLOBAL UNIVERSITY", who then will process the applications as per laid down norms.

### 8.4 Age limit of the Supervisor

Age limit of Ph.D. supervisor shall be as per guideline of UGC and concerned statutory authority.

### 8.5 Restriction on number of candidates

A Research Supervisor / Co-supervisor who is a Professor, at any given point of time, can guide maximum Eight (8) Ph.D. scholars at a time. An Associate Professor as Research Supervisor can guide up to a maximum of six (6) Ph.D. scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of four (4) Ph.D. scholars.

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## 8.6 Allocation of research supervisor:

- 8.6.1 The admitted candidates shall be allocated to the university recognised Ph.D. supervisor formally in the Ph.D. Admission Committee meeting.
- 8.6.2 Candidates shall be allocated to the supervisors depending on the ratio of number of students per research supervisor and the research interest of the students as indicated during interview.
- In case of topics which are of inter-disciplinary in nature where the Ph.D. Admission Committee feels that the expertise in the Department has to be supplemented from outside, the Department may appoint a Research Supervisor from the Department itself, who shall be known as the Research Supervisor, and a Co-Supervisor from outside the Department / Faculty / College / Institution.

## 9. Admission

### 9.1 Provisional Admission

A candidate shall be provisionally admitted/registered to the University for Ph.D. programme by submitting a duly filled prescribed form along with a self attested Photostat copy of necessary documents as under:

- 9.1.1 Post graduate degree certificate
- 9.1.2 Fellowship award certificate (if applicable)
- 9.1.3 Sponsorship certificate (if applicable)
- 9.1.4 Recent Publications (of last four years) (if applicable)
- 9.1.5 Experience certificate (if applicable)
- 9.1.6 Six printed copies of the Research proposal in a prescribed format along with a soft copy.
- 9.1.7 Two passport size recent photographs.
- 9.1.8 NOC from current employer (if applicable)
- 9.1.9 Consent letter duly signed by the allocated research supervisor.

### 9.2 Doctoral Committee (DC)

- 9.2.1 The Provost of GOKUL GLOBAL UNIVERSITY shall constitute a subject wise DOCTORAL COMMITTEE for each research scholar, comprising:
- 9.2.1.1 Research Supervisor – Convener of DC,
- 9.2.1.2 Dean of concerned faculty
- 9.2.1.3 One expert from the faculty, if available
- 9.2.1.4 At least one external expert related to area of research.
- 9.2.2 The DC shall review the research proposal submitted by the candidate at the time of provisional admission process and shall recommend the acceptance of research proposal. The DC shall also guide the research scholar to develop the study design and methodology of research and identify the course(s) that he may have to do.
- 9.2.3 The DC, if required, shall direct a candidate to submit the revised research proposal incorporating the recommendations made by it within a period of ONE month. Once the revised research proposal is accepted, the candidate shall be recommended for provisional registration

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- 9.2.4 It shall be mandatory for all the provisionally registered candidates to seek the clearance of proposed research work from the Institutional Ethics Committee and/or Institutional Animal Ethics Committee for confirmation of provisional registration, wherever applicable.
- 9.2.5 Candidate who fails to get clearance from the doctoral progress committee or/and ethics committee(s) shall not be registered for the Ph.D. degree and his application will stand cancelled and no refund of registration and application fees will be permissible.
- 9.2.6 The candidate who fails to appear before the Institutional Ethics Committee and /or the Institutional Animal Ethics Committee on a stipulated date(s) without prior permission or valid reason, the committee may recommend cancellation of provisional registration granted to the candidate.
- 9.2.7 The DOCTORAL COMMITTEE shall monitor the research work of the candidate concerned periodically, record his progress of every semester in the prescribed format and send it to the Director of Research. It shall also review the overall research work done before final submission of the thesis by the research scholar.
- 9.2.8 Any change in the membership of the DC shall be effected only on approval by the Provost.

### 9.3 Commencement of Academic Session:

The academic session for the Ph.D. programme shall commence maximum twice in a year, depending upon the completion of admission procedures.

### 10. Course Work

- 10.1 The provisionally admitted/registered candidate for the degree of Doctor of Philosophy (Ph.D.) shall undertake course work for a minimum period of six months.
- 10.2 The course work shall be treated as prerequisite of further Ph.D. research work. Only if the candidate successfully completes his course work, he will be eligible for enrollment process and will be allowed to carry out further research work.
- 10.3 The candidate shall undertake the coursework consisting of three subjects with total 10 credits as specified below in the table.

Sr. No.	Subject Description	Credits
1	Research Methodology and Publication Ethics	4
2	A subject related to research Topic / Domain specific discipline	4
3	A subject covering Tutorials / Simulations / Experiments / Assignments/Research Seminar etc.	2

- 10.4 All above mentioned courses prescribed for Ph.D. course work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods as recommended by the DC and approved by Academic Council.
- 10.5 Evaluation and/or assessment for the course work shall be carried out as per the methods and weightage specified for each respective subject in the teaching scheme approved by the academic council.

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- 10.6 The candidate has to obtain a minimum of 55% of marks or its equivalent grade in the course work in order to be eligible to continue in the programme and submit the dissertation/thesis.
- 10.7 Candidates already holding M. Phil. degree and admitted to the Ph.D. programme may be exempted by the University/Institute/Department from the Ph.D. course work. All other candidates admitted to the Ph.D. programme shall be required to complete the Ph.D. course work prescribed by the University/Institute/Department.
- 10.8 All candidates admitted to the Ph.D. programme shall be required to complete the course work prescribed by the University during the initial one or two semesters.
- 10.9 In case a candidate fails to pass the course work examination in FOUR attempts, he will not be allowed for registering further. Payment of fees for appearing the examination at the end of first year and inability to appear in the examination shall be deemed as an attempt.

## 11 Research Work

### 11.1. Inter-Disciplinary Research

- 11.1.1.A candidate registered for a Ph.D. programme shall carry out research work essentially under guidance of his Ph.D. supervisor. A research scholar / supervisor can propose inter-disciplinary research work in other concerned department / institute recognised by GOKUL GLOBAL UNIVERSITY. However, the work at the said department / institute shall be carried out under the guidance of qualified experts.
- 11.1.2 The co-supervisor/s may work as co-opted member/s of the DC for the candidate. Only a full time regular teacher of the University can act as a PhD. Supervisor. The external supervisors are not allowed. However, Co- Supervisor can be allowed in inter-disciplinary areas from other departments of the same institute or from other related institutions with the approval of the DC

### 11.2 Recognised Institutions for Research Work

Candidate who has registered for the Degree of Doctor of Philosophy (Ph.D.) shall pursue research under the supervision of a Ph.D. supervisor in any one of the following Departments /Institutions, recognised by GOKUL GLOBAL UNIVERSITY namely:

- 11.2.1 Any department / institute of GOKUL GLOBAL UNIVERSITY;
- 11.2.2 Postgraduate departments of recognised University or college situated within the country having necessary facilities for carrying out research in the branch of study concerned. It is preferred that the department has been offering the recognised postgraduate course.
- 11.2.3 All India Research Institutions, Regional Research Institutions or any other Non teaching Research Institution having necessary facilities for carrying out research in the branch of study concerned and approved by this University.
- 11.2.4 Any organisation/industry/institution not covered above, but having sufficient infrastructure to carry out required research work shall be granted the recognition for the purpose as per the procedures laid down.

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11.2.5 For the purpose of granting recognition to an institution covered above, the request made by concerned research supervisor and the Dean of the faculty may be forwarded to the Academic Council of GOKUL GLOBAL UNIVERSITY, and on its recommendation, the final decision be taken by the Provost.

### 11.3 Progress of the Research Work:

- 11.3.1 A research scholar shall appear before the DOCTORAL COMMITTEE once in six months to make a presentation of the progress of his work for evaluation and further guidance. The six monthly progress reports shall be submitted by the DOCTORAL COMMITTEE to the Institution/College with a copy to the research scholar.
- 11.3.2 A research scholar is required to submit the detailed research proposal along with title of the research in the first presentation to DC after the successfully completion of course work.
- 11.3.3 The DC shall review the progress of research and shall advise the candidate with regard to further work. The DC shall submit its report to the Director of Research.
- 11.3.4 If a candidate fails to submit two consecutive six monthly progress report or/and fails to appear before DC for presenting progress of research work on one occasion without valid reason/s communicated in advance to the Research Supervisor, the DC shall recommend to the Director of Research for cancelling his registration.
- 11.3.5 In case of unsatisfactory research work by the research scholar, the DC shall record the reason/s for the same, advise and suggest correctives measures. If the research scholar fails to incorporate these corrective measures, the DC may recommend to the Provost with substantial reasons through the Director of Research for cancellation of the registration.
- 11.3.6 At appropriate time during six monthly progress review meeting, DC shall recommend the research scholar to submit the synopsis, which a research scholar shall submit within six months from recommendation of the DC.
- 11.3.7 Procedure to be followed for evaluation of Ph.D.
- 11.3.7.1 Pre- Ph.D. exam.
  - 11.3.7.2 Research Proposal
  - 11.3.7.3 Six monthly progress reports
  - 11.3.7.4 Pre-Defence open seminar
  - 11.3.7.5 Final Defence

### 11.4 Change in topic of Research

- 11.4.1 If a candidate desires to change the topic of research on substantial grounds, he shall make an application to the Dean of Faculty through the supervisor.
- 11.4.2 The Faculty Dean shall put the application to DC which shall consider such application on merit and may recommend change in the topic of research.
- 11.4.3 Permission for change in the topic of research may be granted by the Provost. No further change shall be permitted thereafter.

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11.4.5 The candidate shall be required to comply with all the procedure for registration of the new topic.

11.4.6 However, the duration of research period shall commence from the date of registration of new topic.

#### **11.5 Change of Supervisors and Transfer of Scholars**

11.5.1 In case of resignation, relieving, retirement or death of a Ph.D. supervisor, the candidates registered under him shall be re-allocated to other recognised supervisor/s in the subject/department, on recommendation of Dean of the faculty with permission of the Provost.

11.5.2 However, in case of resignation, relieving or retirement of a Ph.D. supervisor; the research supervisor shall continue guiding the registered scholars till the availability of other recognised supervisor or the completion of the research work whichever is earlier.

11.5.3 When a supervisor is not available for the period of six months or more due to leave/lien/deputation; DC shall allocate such student to other eligible supervisor as a co-supervisor, till the supervisor becomes available. In such cases, recommendation of regular supervisor is preferred.

11.5.4 However not withstanding any of the above, change of supervisor by research scholar shall be avoided.

#### **11.6 Cancellation of the Ph.D. Registration**

11.6.1 In case of recommendation for cancellation of the registration by the DC, the candidate shall be intimated by the controller of examination about the grounds on which the registration is being proposed for cancellation. In case of any representation from the candidate, the controller of examinations shall refer the matter to the Provost, who may either approve cancellation of registration or refer the matter back to the respective DC for reconciliation or necessary action as it may deem fit. The decision of the Provost shall be final.

11.6.2 A candidate who fails to submit the completed thesis within the prescribed period or has failed to do so within the notified extended period, if any, the registration of such candidate shall stand lapsed automatically and such candidate shall be discharged from the Ph.D. programme. However, such a candidate may, if he desires so, apply for re-registration for the Ph.D. degree after complying with the procedure prescribed under these regulations.

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## 12. Thesis

### 12.1 Title of the Thesis

- 12.1.1 The exact title of the thesis shall be submitted to the DC at the time of submission of the detailed research proposal.
- 12.1.2 Any request made for change of title of the thesis after the submission of the research proposal, but within period of three months of registration shall be before the DOCTORAL COMMITTEE for its consideration.
- 12.1.3 The change in the title of the thesis shall be allowed only once. Such changes are subject to the recommendations of the DC. The DC shall intimate the change in the title, if any, to the Director of Research and the controller of examination.

### 12.2 Synopsis

- 12.2.1 After completion of research work, the candidate shall be required to submit the entire research work to his supervisor for approval.
- 12.2.2 After approval of the research work by the supervisor, the candidate shall submit SIX copies of synopsis as per the prescribed proforma to the Ph.D. coordinator for its approval from DC.
- 12.2.3 The candidate shall make a presentation of his research work, contained in a synopsis, before the member of DC. This presentation shall be open for all faculty members and research scholars in the relevant discipline.
- 12.2.4 The submitted synopsis shall be modified suitably, if needed, based on the suggestions of the committee. This step shall be considered as preliminary examination prior to the submission of doctoral thesis.
- 12.2.5 The final approved copy of synopsis after incorporating all changes, if any, suggested by DC, shall be given to Controller of Examination.
- 12.2.6 Conditions for submission of Ph.D. synopsis.

As per the prescribed Proforma

- a. Clearance from GOKUL GLOBAL UNIVERSITY Ethical Committee (GGUEC) and/or plagiarism report.
- b. Clearance from Institutional Animal Ethical Committee (IAEC) registered with Committee for the Purpose of Control and Supervision on Experiments on Animals (CPCSEA); wherever applicable.
- c. Any other clearance as required by respective regulatory / statutory authority of various faculties.
- d. To be submitted to the university within six months from the date of recommendation of submission of synopsis by DC.

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### 12.3 Submission of Thesis

- 12.3.1 After the acceptance of synopsis by the university, the candidate shall submit SIX copies of thesis preferably spiral binding, duly signed by the Supervisor, Co-Supervisor(s) if any, Head of the Department, Dean of the Faculty and the Director of Research along with TWO copies (in separate CDs) in computer disc, within THREE months from the final approved version of the synopsis, to the Controller of Examination through the Dean of the faculty in confidential cover.
- 12.3.2 It shall be mandatory for the candidate to publish at least TWO Research papers from the research work done for the thesis in peer reviewed / indexed journal(s) / High impact factor journals before submission of thesis. The list of peer reviewed / indexed journal(s) / High impact factor journals shall be published on university website.
- 12.3.3 The title page of the thesis, cover, format, etc., shall strictly conform to the format of presentable by the university and shall carry a declaration by the candidate and certificate duly signed and issued by the supervisor, co-supervisor(s) if any, Head of the Department and Dean of the Faculty.
- 12.3.4 Supplementary papers to the thesis like printed copies of any contribution to the knowledge of the subject may also be appended in the thesis by the research scholar.
- 12.3.5 Where a Ph.D. candidate has submitted the synopsis, but is unable to submit the thesis within the time, prescribed extension of time for submission of thesis may be granted by the Provost subject to maximum of ONE year with valid reasons. Research scholar requires to pay term fees as per the rules.

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## 12.4 Reviewers

- 12.4.1 The DOCTORAL COMMITTEE shall forward the details of SIX reviewers to the controller of examinations. The reviewer should preferably fulfill the criteria of eligibility of supervisor for appointment as reviewer, who are to be of national / international repute.
- 12.4.2 The Ph.D. thesis submitted by a research scholar shall be evaluated by his Research Supervisor and at least TWO external examiners, who are not in employment of the Institution/College.
- 12.4.3 Controller of Examination in consultation with Provost finalise the name of TWO external examiners for the review of the thesis. Controller of Examination may decide to send soft copy version of the thesis for the evaluation, if it is suitable to the reviewer.
- 12.4.4 In order to complete the evaluation work within stipulated time period, a reviewer shall be given maximum 60 days' time for the review of the thesis. If any reviewer is unable to complete/submit the review report within this time period, Controller of Examination may send to another reviewer with permission from the Provost.
- 12.4.5 For conducting viva-voce examination, at least one reviewer shall be appointed who had evaluated the thesis of the research scholar.
- 12.4.6 When first panel of SIX Ph.D. external reviewers are unable to accept the reviewership, the controller of examination shall seek names for an additional panel of reviewers from the DC. The additional panel is also unable to accept the reviewership, the Provost shall appoint reviewer(s) as required in consultation with the Head of Department / Dean in the relevant discipline.

## 12.5 Evaluation of Thesis and Defence Viva-Voce Examination

- 12.5.1 A copy of thesis shall be sent to reviewers for evaluation.
- 12.5.2 The reviewers who had evaluated the thesis shall ordinarily report in the prescribed format, along with a detailed report within 60 days from the date of receipt of the thesis to the controller of examination on the merit of the thesis for Ph.D. degree as
  1. Recommended
  2. Recommended with minor correction
  3. Recommended with major correction
  4. Rejected
- 12.5.3 In case of thesis rejected by any one of reviewer, on request of research supervisor, thesis may be sent to another reviewer from the panel on approval of the Provost.

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- 12.5.4 A candidate, whose thesis has not been recommended, shall be permitted to resubmit the corrected / modified thesis as suggested by the reviewers within a period of one year to the controller of the examination. In such cases, the candidate will automatically be considered for an extension for Ph.D. programme.
- 12.5.5 No candidate shall be permitted to submit the thesis or take the defence viva-voce examination for Ph.D. degree for more than THREE attempts
- 12.5.6 When the thesis is approved, the candidate shall be ready himself for a defence viva-voce examination, to be conducted before the examination panel. The examination panel shall consist of candidate's research supervisor, at least one external examiner and Faculty Dean.
- 12.5.7 The viva-voce examination will relate only to the research area / thesis. Such public viva-voce examination will be conducted only in the GOKUL GLOBAL UNIVERSITY premises, and it shall be open for all.
- 12.5.8 If none of the reviewers who evaluated the thesis is available, another reviewer from the panel may be appointed by the Provost. A candidate, who is successful in the viva-voce examination, shall be declared to have qualified for the Ph.D. degree with effect from the date of the publication of notification.
- 12.5.9 A candidate, who is not successful in the defence viva-voce examination, shall be permitted to appear for the viva-voce examination second time within a period of three months.

### 13 Miscellaneous:

#### 13.1 Publication of the Thesis:

The thesis, only on approval, shall be published in full as a book with the permission of the DC. However, the candidate during the course of his research shall have to publish or must receive the acceptance for getting published at least TWO papers in indexed journal/s. In such instances, the candidate shall append the reprint copies of published papers or the acceptance letter in the copies of Ph.D. thesis submitted to the university by him. Code of ethics for research such as plagiarism issues, honesty & integrity while conducting research shall be strictly practiced by the research scholar

#### 13.2 Award of Degree:

On completion of the evaluation process, the successful candidate shall submit the FOUR hard copies of the thesis, upon receipt of which candidate shall be awarded the degree of 'Doctor of Philosophy' mentioning the faculty, the subject in which he was enrolled. The GOKUL GLOBAL UNIVERSITY shall also issue a provisional certificate certifying that the degree of Ph.D. has been awarded in accordance with the provisions of the University Grants Commission (UGC) regulations for Ph.D.



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### 13.3 Depository With UGC:

Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), The GOKUL GLOBAL UNIVERSITY shall submit an electronic copy of the Ph.D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/Colleges.

### 13.4 Decision By the Provost:

In all other matters not specifically covered under these regulations, the Provost may take a decision having regard to the circumstances of the case and if he deems necessary he may refer the matter to the academic council, for suitable recommendations before taking such decision



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